**META-ANALYSIS** 

# Risk factors and outcomes of post-transplant erythrocytosis among adult kidney transplant recipients: a systematic review and meta-analysis

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#### **SUMMARY**

Post-transplant erythrocytosis (PTE) can occur in up to 10-16% after kidney transplant (KT). However, the post-transplant outcomes of recipients with PTE in the literature were conflicting. We performed systematic review and meta-analysis of published studies to evaluate risk factors of PTE as well as outcomes of recipients who developed PTE compared with controls. A literature search was conducted evaluating all literature from existence through February 2, 2021, using MEDLINE and EMBASE. Data from each study were combined using the random-effects model. (PROS-PERO: CRD42021230377). Thirty-nine studies from July 1982 to January 2021 were included (7,099 KT recipients). The following factors were associated with PTE development: male gender (pooled RR = 1.62 [1.38, 1.91],  $I^2 = 39\%$ ), deceased-donor KT (pooled RR = 1.18 [1.03, 1.35],  $I^2 = 32\%$ ), history of smoking (pooled RR = 1.36 [1.11, 1.67],  $I^2 = 13\%$ ), underlying polycystic kidney disease (PKD) (pooled RR=1.56 [1.21, 2.01],  $I^2=44\%$ ), and pretransplant dialysis (pooled RR=1.6 [1.02, 2.51],  $I^2$ =46%). However, PTE was not associated with outcomes of interest, including overall mortality, death-censored graft failure, and thromboembolism. Our metaanalysis demonstrates that male gender, deceased-donor KT, history of smoking, underlying PKD, and pretransplant dialysis were significantly associated with developing PTE. However, with proper management, PTE has no impact on prognosis of KT patients.

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#### Key words

graft failure, kidney transplantation, overall mortality, post-transplant erythrocytosis, risk factor

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#### Introduction

Post-transplant erythrocytosis (PTE) is defined by the Kidney Disease Improving Global Outcomes (KDIGO) 2009 as hemoglobin (Hgb) >17 g/dl or a hematoctrit (Hct) >51% for both males and females [1]. The rising

of Hct must be persistent over a period of 3 to 6 months and independent of other pathological conditions, such as polycythemia vera, tumors associated with increased erythropoetin (EPO), hypoxia, chronic obstructive pulmonary disease, and obstructive sleep apnea [2,3]. PTE is one of the more common post-

transplant hematologic complications which affect 8–26% of kidney transplant (KT) recipients [4]. The prevalence varies from study to study because of the different levels of Hct used for diagnosis, length persistence of disease, and gender variation of Hct cut-off values [2,5]. Although the cause has remained unclear, several risk factors have been linked to this complication, and the symptoms are similar to other forms of erythrocytosis, including headache, dizziness, fatigue, dizziness, and malaise [5,6].

To understand this hematologic complication better and to recognize it earlier in KT recipients, we aimed to study possible risk factors associated with this complication, including male sex, smoking, pretransplant medical conditions and medications, pre-existing kidney diseases, types of KT, and immunosuppressive regimen.

Spontaneous remission occurs in less than 25% of the patients within 2 years from the onset, with a median time of 13 months [2,4,5]. Thus, early detection and prompt management are necessary. Persistence of the condition causes worsening kidney function because of chronic rejection, which required treatment with angiotensin converting enzymes (ACEI) and angiotensin II receptor blockers (ARB) or phelbotomy [5] with a goal of maintaining Hct below 51% [1]. Several studies have reported thromboembolic events, such as stroke, pulmonary embolism, thrombosis of digital or brachial arteries, and cardiovascular disease, as a sequela of PTE because of an increased blood viscosity [2,5]. However, outcome of PTE is controversial and is also an aim of this study.

# Materials and methods

#### Search strategy

This systematic review was conducted in accordance with the Meta-analysis of Observational Studies in Epidemiology guidelines [7]. A literature search was performed to identify studies that had investigated the risk factors and outcomes of adult (age ≥ 18 years) KT recipients who developed PTE. This was independently conducted by two investigators (P.M. and N.L.) in the MEDLINE and EMBASE, from inception through February 2, 2021; search terms included "erythrocytosis" and "kidney transplant" as described in Data S1. The references of selected articles were also manually searched for additional relevant studies; there were no language restrictions.

## Study selection and outcomes

Studies were eligible for inclusion if they met the following criteria: (1) original, published, randomized controlled cohort (either prospective or retrospective), casecontrol, or cross-sectional studies; (2) studies reporting incidence or prevalence of outcomes of interest including overall mortality, death-censored graft failure (DCGF), and thromboembolism in KT patients with and without PTE; (3) studies where data were stratified for KT patients who developed or did not develop PTE and clearly presented an n (%) for each group with a sample size of more than 10 patients; (4) the odds ratio (OR), relative risk (RR), hazard ratio (HR), and standardized incidence ratio (SIR) with 95% confidence intervals (CI), or sufficient raw data to calculate these ratios, were provided; (5) patients without PTE (controls) were used as comparators in cohort, case-control, and cross-sectional studies.

We excluded editorials, opinions, reviews, case reports, or case series, duplicated or overlapped patient populations, and studies conducted in pediatric populations (<18 years).

Study eligibility was independently assessed by the investigators (P.M. and N.L.); any disagreements were resolved through mutual consensus. The quality of each study was assessed using the Newcastle-Ottawa Quality Scale (NOS) [8]. This scale assesses each study using three categories: (1) the representativeness of the subjects; (2) the comparability between the study groups; and (3) ascertainment of the exposure or outcome of interest, for case-control and cohort studies, respectively. The quality assessment of cross-sectional studies has been adapted from the NOS for cohort studies [9]. Studies with total scores of >6 and <4 were considered to be of high and low quality, respectively. We excluded any studies that the meta-analysis indicated of poor quality.

In this meta-analysis, the primary outcome was the risk of overall mortality, DCGF, and thromboembolism between KT patients with PTE and those without PTE. The secondary outcomes were the risk of developing PTE between KT patients with risk factors of interest and those without.

# Review process and data extraction

Two investigators (P.M. and N.L) independently reviewed the titles and abstracts of all retrieved articles. Articles that did not fulfill the inclusion criteria were excluded; only potentially relevant articles underwent

full-text reviews to determine their eligibility. A standardized data collection form was used to extract the following data: first author's name, year of publication, year of study, country of origin, study design, source of population, number of subjects, baseline characteristics of the subjects, and effect estimates. This data extraction process was performed in duplicate to ensure accuracy.

# Statistical analysis

All statistical analyses were performed using RevMan software (version 5.4.1; Cochrane, London, United Kingdom). The pooled risk ratios for various outcomes in the PTE group compared with the control group and risk factors of developing PTE were calculated using a Mantel-Haenszel method. A random effects model was used, given the high likelihood of between-study variance because of differences in underlying population, as well as methodology. The heterogeneity of effect size estimates across these studies was quantified using the  $I^2$  statistic. An  $I^2$  value of 0–25% represented insignificant heterogeneity, 25–50% represented low heterogeneity, 50–75% represented moderate heterogeneity, and >75% represented high heterogeneity [10].

#### Results

The initial search yielded 450 articles, all of which underwent both title and abstract reviews. Most were excluded at this step, as they did not fulfill our inclusion criteria; that is, they were irrelevant, case reports, letters to the editor, review articles, or interventional studies. A total of 61 studies underwent full-length article review; 22 were excluded, as they did not include controls or report the outcome of interest. A total of 39 observational studies [4,6,11,12,13,14,15,16,17,18,19,20, 21,22,23,24,25,26,27,28,29,30,31,32,33,34,35,36,37,38,39, 40,41,42,43,44,45,46,47], including 7,099 patients, finally met our inclusion criteria and were included in the meta-analysis. Figure 1 outlines our search methodology and selection process; the baseline characteristics of the included studies are summarized in Table 1.

#### Risk factors for post-transplant erythrocytosis

Table 2 outlines the pooled risk ratio of risk factors evaluated. Male gender and history of smoking were significantly associated with higher risk of PTE with pooled risk ratios of 1.62 (95% CI 1.38–1.91,  $I^2 = 39\%$ ) and 1.36 (95% CI 1.11–1.67,  $I^2=13\%$ ), respectively (Fig. 2). Deceased donor KT recipient status, compared

with living-donor KT recipient status, was significantly associated with higher risk of PTE with a pooled risk ratio of 1.18 (95% CI 1.03–1.35,  $I^2 = 32\%$ ). The patients who received dialysis prior to KT were found to have higher risk of PTE with a pooled risk ratio of 1.6 (95% CI 1.02–2.51,  $I^2 = 46\%$ ) (Fig. 3). Pretransplant diabetes, hypertension, and previous transfusion did not show significant association (Figure S1). Previous transplant recipient status and pretransplant ACEI or ARB use were associated with numerically lower risk of PTE, but the effects were not statistically significant (Figure S2).

Among the etiologies of native kidney disease, only polycystic kidney disease (PKD) was found to be significantly associated with higher risk of PTE with a pooled risk ratio of 1.56 (95% CI 1.21–2.01,  $I^2 = 44\%$ ); while glomerulonephritis did not have significant association.

The choice of induction and maintenance immunosuppressive medications did not appear to show any significant associations. Of note, Alemtuzumab and MMF use was associated with a numerically lower risk of PTE, but the effect was not significant (FiguresS3–S4).

Transplant renal artery stenosis also did not have significant association with PTE. Forest plots of nonsignificant risk factors are shown in Figures S1–S3.

# Outcomes of Post-transplant Erythrocytosis

Table 3 outlines post-transplant outcomes of KT recipients who developed PTE compared with those who did not. We did not find any significant association between PTE and adverse outcomes, including overall mortality, DCGF, and thromboembolic events. Forest plots are shown in Fig. 4.

## Evaluation for publication bias

The funnel plots for the significant risk factors and outcomes of PTE are shown in Figures S5 and S6, respectively. They are symmetrical and do not suggest the presence of publication bias in favor of positive studies.

#### **Discussion**

Our meta-analysis found that the risk factors of PTE were recipients with male gender, a history of smoking, underlying PKD, deceased-donor KT status, and pre-transplant dialysis.

Currently, the pathogenesis of PTE is not well understood but appears to be multifactorial [5]. Several hormones and growth factors may be involved in the pathogenetic mechanisms of PTE. The main mechanisms

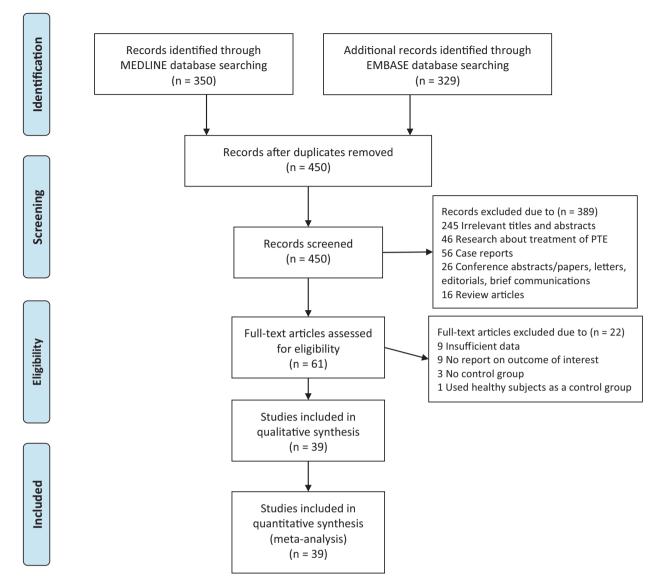


Figure 1 Search methodology and selection process.

are overproduction of EPO, renin-angiotensin system (RAS) activation, increased endogenous androgens, and increased hematopoietic growth factors, such as insulinlike growth factor-1 [5,48].

We confirmed findings from several previous studies that being a male recipient is a risk factor of PTE [4,15,28]. Male gender was the most important risk factor for PTE in our meta-analysis, with a RR of 1.61. This may be explained by endogenous androgens in males, which could promote erythrocytosis directly by stimulating erythroid progenitors that have already been differentiated by EPO [49] or indirectly via RAS [50] or endogenous EPO activation [51].

Smoking has been associated with an increase in the Hgb levels in the general population [52,53], mainly

related to increased levels of carboxyhemoglobin in the blood [53]. However, Gaciong et al. reported no significant difference in carboxyhemoglobin level between patients with and without PTE [20]. Erythrocytosis among cigarette smokers in the general population is also commonly believed to be because of elevated serum EPO levels; however, a recent study showed an inverse association between erythrocytosis and EPO levels [54]. In addition, there were a few reports showing that PTE was more frequently found in KT recipients with a history of cigarette smoking [46,55]. This could be explained by occult renovascular disease in smokers causing an increase in renin and EPO production [56,57]. Nevertheless, the actual impact of cigarette smoking on PTE is still unknown and needs more

Main treatment of PTE Phlebotomy, ACEI, Phlebotomy, ACEI Phlebotomy, ACEI Phlebotomy, ACEI Theophylline phlebotomy **Phlebotomy** ACEI/ARB, ACEI, ARB **ACEI/ARB** ĕ N ¥ ₹ N Mean 9.5  $\pm$  2.5 months 7.3 ± 2.8 months 9.8 ± 9 months N/A (09 Mean (Range) 35.2 months (7– 9 ± 2 months Mean 9.92 ± 5.89 months Mean 11.3 ± 9.8 months 4 months (36, Timing of PTE posttransplant Median (IQR) diagnosis Mean Mean ₹ N ₹ N PTE: Mean Hgb 16.79 ± 0.75 g/dl Wean peak Hgb, g/ Male17.5  $\pm$  0.14, PTE: Mean Hct at Dx 52.08 ± 1.26%  $^{7}$ TE: 17.2  $\pm$  0.77 PTE: Mean Hgb 18 ± 1 g/dl N/A Average level of Mean Hgb, g/dl  $13.32 \pm 1.79$  $13.4 \pm 0.64$  N/A  $17.1 \pm 0.52$ No PTE: Male  $13.5 \pm 0.4$ Hgb or Hct Female Female No PTE: ₹ ᇹ ₹ ₹ ¥ Definition of PTE Men > 16.5 g/dl Women > 16 g/ Hct > 51% in female Hct > 55% in Hct > 50% in Hct > 52% in Hct > 50% in 1ct > 53% in Hct > 51% Hct > 51% Hct > 50% Hct > 51% Hct > 51% Hct > 51% female male Hgb ∀ N ᇹ PTE patients (%) 31 (21.6) 43 (27.7) 15 (15.6) 174 (50) 74 (46.5) 75 (6.7) 40 (20) 214 (5) 17 (50) 18 (50) 9 (19) 113 ± 26 months Mean 53.6 ± 16.1 Median (IQR) 4 years (3, 5) Duration of dn-wolloj months Mean ΑN ₹ N ₹ N ¥ ₹ N ¥ ¥ No PTE 32.9  $\pm$  1.6 Mean PTE 29.63 ± 8.78 Mean 34.9 ± 9.7 PTE 40 (Range 20-Mean PTE 39.57 (Range No PTE 32  $\pm$  9.2 PTE 48.3 ± 11.1 PTE 33.1 ± 10.6 PTE 34.7 ± 1.7 (Range 22-63) PTE 35.3  $\pm$  8.4 Median  $\pm$  IQR 52.2  $\pm$  17.8  $28.69 \pm 9.81$  $32.8 \pm 10.6$  $31.1 \pm 11.7$ Mean 44 ± 9  $54.0 \pm 13.2$ No PTE 36.33 PTE 32  $\pm$  9.1 Age (Years) No PTE 27 27-67) No PTE No PTE No PTE No PTE Mean Mean Mean Mean Mean Mean 330 (94.8) 119 (76.8) 687 (61.2) (9.49) 28 (82.4) Male (%) 91 (57.2) 30 (83.3) 156 (78) 812 (65) 39 (83) 49 (79) 62 47 348 200 155 1123 1249 34 62 96 36 159 Cross-sectional Retrospective cohort Retrospective cohort Study design Case-control Case-control Case-control Case-control Case-control Case-control Prospective Prospective cohort cohort Country Pakistan Arabia Canada Turkey Tunisia Turkey Hong Kong Egypt Saudi USA **NSA** USA Charfeddine/ 2008 Gaciong/1992 \bdelrahman/ Alzoubi/2020 /houelenein/ hmed/2012 irst author/ kcay/2005 Masfar/2021 Erdem/2011 Chan/1992 Frei/1982 2004 2018 ear

**Table 1.** Characteristics of the studies included in the meta-analysis.

Main treatment of		omy		omy		Phlebotomy, ACEI	Phlebotomy, ACEI	omy RB					
Main tre	PTE	Phlebotomy	ACEI	Phlebotomy	N/A	Phlebot	Phlebot	Phlebotomy ACEI, ARB	N/A	N/A	ACEI	N A	ACEI
Timing of PTE diagnosis	posttransplant	Mean, months Cyclosporine 8.3 ± 0.7 Non-cyclosporine 9.7 + 0.5	N/A N/A	Mean 41.8 ± 15.4 months (Without 2 outlier patient 21.6 + 8.7)	Median 12 months	Mean (Range) 247 days (100– 500)	Mean 5.6 + 2.5 months	~ ~	₹ 2	<b>∀</b> Ż	Median 6 months	N/A	Mean 25.4 ± 1.6 months
		Mean Hct, % PTE group: Hct 53.6 ± 0.25 Normal Hct group: Hct 39.9 + 0.4	N/A N/A	∀ ∀	N/A	Mean Hgb, g/dl PTE: $16.8\pm0.9$ No PTE: $12.6\pm1.3$	PTE: Mean Hgb	N/A PTE: Mean peak Hb 18.1 ± 0.9 g/dl	<b>∀</b> Ż	V. ∀	PTE: Mean Hct 54 + 1%	Mean Hgb, g/dl PTE: 17.9 ± 0.3 No PTE: 12.4 ± 0.2	PTE: Mean Hct 54 ± 0.6%
	Definition of PTE	Hct > 51%	Hct > 51%	Hct > 51%	Hct > 50%	RBC mass > 120% of the theoretical	Hgb > 17 g/dl	Hct > 51% Hct > 51% or Hb > 17 g/dl	Hct > 51%	Hct > 50%	Hct > 50%	Hgb Women > 16 g/ dl	Hct > 52%
PTE patients	(%)	35 (19)	12 (50)	13 (52)	23 (50)	18 (50)	19 (28.4)	N/A 59 (11.5)	13 (13.4)	71 (18.4)	73 (26)	6 (50)	8 (6)
Duration of	follow-up	Mean 40.8 ± 4.4 months	N/A	₹ 2	NA	Mean 22 months (range 9–35)	Total 12 months	N/A Total 8 years	<b>∀</b>	₹2	ΝΆ	Mean PTE 25 ± 3.9 months No PTE	WA AN
	Age (Years)	Mean Cyclosporine 42.6 ± 2.7 Non-cyclosporine 42.1 + 4.3	Mean PTE 51.2 ± 10.9	No PTE 48.1 ± 3.1 No PTE 52.6 ± 2.6	Median 41 (Range 23–60)	Mean PTE 45.6 ± 13.9 No PTE 13.3 ± 13.9	Mean 32.6 ± 8.8	N/A Mean PTE 44 ± 11 No PTE 45 + 13	Mean PTE 35.2 $\pm$ 2.4 No PTE 34.8 $\pm$ 6.1 Healthy control	53 ± 6.7 Mean (Range) PTE 41 (39–44) Non-PTE/PTA 42 (40–43) PTA 40 (38–42)	N/A	Mean PTE 31 $\pm$ 1.4 No PTE 34.8 $\pm$ 2.5	Mean PTE 45 ± 3.9
	Male (%)	180 128 (71.1)	24 9 (37.5)	25 20 (80)	46 PTE group 17 (73.9)	36 28 (77.8)	67 57 (85)	60 51 (85) 511 318 (62)	97 56 (57.7)	385 247 (64.2)	283 N/A	18 10 (55.6)	112 N/A
	Study design N	Case-control 18	Cross-sectional	Case-control	Case-control 4	Prospective cohort	Prospective (	rtrol ctive	Cross-sectional	Prospective 38 cohort	Retrospective 28	itrol	Prospective 1′ cohort
	Country	USA	USA	USA	¥	France	Parkistan	Egypt Canada	Turkey	Poland	USA	USA	USA
First author/	Year	Glicklich/1989	Glicklich/1999	Gupta/2000	Innes/1991	Kessler/1996	Khan/2021	Khedr/2009 Kiberd/2009	Kiykim/2009	Kolonko/2009	Kurella/2003	Lezaic/1997	Mulhern/1995

Table 1. Continued.

First author/ Year	Country	Study design	Z	Male (%)	Age (Years)	Duration of follow-up	PTE patients (%)	Definition of PTE	Average level of Hgb or Hct	Timing of PTE diagnosis posttransplant	Main treatment of PTE
Najoua/2010	Morocco	Retrospective cohort	74	41 (55.4)	Mean PTE 40 ± 11 No PTE 36 ± 13	Mean 37 ± 27 months	11 (14.9)	Hct > 51%	PTE: Mean Hgb 17 ± 0.4 g/dl, Mean Hct 52 + 0.9%	Mean 9 ± 7 months	Phlebotomy, ACEI
Oymak/1995	Turkey	Case-control	36	25 (69.4)	Mean (Range) PTE 33 (24–40) No PTE 35 (22–55) Healthy control 34	Z X	10 (31.3)	Hct > 51%	PTE. Mean Hgb 17.6 ± 0.2 g/dl	Mean (Range) 10 months (4–22)	Venesection
Pollak/1988	USA	Case-control	45	29 (69)	Mean PTE 37.5 ± 8.8 No PTE 32.3 ± 8.7	Mean 5.5 years (Range 1–15.6 )	22 (52.4)	Hct > 50%	PTE: Hct 55.2 ± 4%	Mean 11.4 months	N/A
Qunibi/1991	Saudi Arabia	Case-control	186	140 (75.3)	Median (Range) PTE 34 (13–61) No PTE 33 (12–72)	Median 35 months	93 (50)	Hct > 51%	Median (Range) PTE: Hct 54.6 (51– 63.2) %	Median (Range) 9 months (1–99)	Phlebotomy
Rajasekar/2018	India	Cross-sectional	327	261 (79.8)	Mean PTE 30.8 ± 9.08 No PTE 34.6 ± 8.35	Mean 52.9 ± 44.8 months	51 (15.6)	Hgb > 17 and/or Hct > 51%	PTE: Mean Hgb 18.68 ± 0.73 g/dl	Median (Range) 8 months (2–36)	Phlebotomy, ACEI (enalapril)
Razeghi/2008	lran	Case-control	235	86 (36.6)	Mean PTE 41.5 ± 12.1 No PTE 38.4 ± 14.1	\ ∀ V	45 (19)	Hct > 51%	Ψ. V	N/A	Ψ/Z
Singh/2002	India	Prospective cohort	150	121 (80.7)	∀ Z	\ ∀ V	11 (7.3)	RBC mas Male > 35 mL/ Kg, Female > 30 mL/Kq	Ψ. Z	N/A	ARB
Thevenod/ 1983	Germany	Cross-sectional	24	16 (66.7)	Mean PTE 39 ± 10 No PTE 38 ± 11	N/A	12 (50)		N/A	NA	Phlebotomy
Usalan/1998	Turkey	Prospective cohort	20	19 (95)	Mean PTE 41 ± 13 No PTE 43 ± 14	√× ∀×	10 (50)	Hct > 51%	PTE: Mean Hgb 17.24 $\pm$ 0.53 g/dl	Mean (Range) 13 months (4–26)	Phlebotomy, ACEI (enalapril)
Vedovato/ 1990	Italy	Case-control	31	18 (58.1)	Mean (Range) 41 $\pm$ 9 (24–57)	NA	14 (45)	N/A	PTE: Mean Hct 52 ± 4%	Mean 7 ± 3 months	Phlebotomy
Wang/2002	Hong Kong	Prospective cohort	8	12 (66.7)	Mean PTE 43 ± 18 No PTE 46 ± 8	NA A	8 (44.4)	Hct > 51%	Mean Hgb, g/dl PTE: Losartan group Hgb 17.3 ± 0.8, Enalapril group Hqb 17.1 ± 0.6	√ Y	ACEI, ARB
Webb/1987	¥	Case-control	100	PTE 62% No PTE 72%	N/A	WA	50 (50)	Hgb Women > 15 g/ dl Men > 16.5 g/dl	N/A	N/A	Phlebotomy

Table 1. Continued.

Table 1. Continued.	Continued	 								
First author/ Year	Country	Country Study design	N Male (%)	Age (Years)	Duration of follow-up	PTE patients (%)	Average lev Definition of PTE Hgb or Hct	Average level of Hgb or Hct	Timing of PTE diagnosis posttransplant	Main treatment of PTE
Wickre/1983	USA	Case-control	102 56 (54.9)	Mean PTE 35.7 ± 12 No PTE 30.2 ± 13.1	Mean PTE 41.5 ± 27.8 months Control 48.6 ± 18.3 months	53 (52)	Hct > 51%	PTE: Mean Hct 54.8 ± 2.6%	Mean 17 months	Phlebotomy
Yeter/2020	Turkey	Turkey Case-control	247 159 (64)	Mean 40 ± 12	N/A	59 (23.9)	Hgb Women > 15 g/ dl Men > 16.5 g/dl	√. V	Median (Range) 16 months (8–34)	N/A
Yildiz/2003	Turkey	Turkey Case-control	154 106 (68.8)	Mean PTE 32 ± 10 No PTE 31 ± 10	N.A	86 (55.8)	Hgb > 16 g/dl	Mean Hgb, g/dl PTE 18.4 $\pm$ 2.3 No PTE 13.6 $\pm$ 4.2	Mean 8.8 ± 7.9 months	ACEI, ARB
ACEI, Angic	otensin-cor	nverting-enzy	me inhibitors; A	ACEI, Angiotensin-converting-enzyme inhibitors; ARB, Angiotensin II receptor blocker; Hgb, Hemoglobin; Hct, Hematocrit; PTE, Posttransplant erythrocytosis.	ceptor blocker; Hg	b, Hemoglobin;	: Hct, Hematocr	it; PTE, Posttrans	plant erythrocytos	is.

investigation. Moreover, the results could be affected by the fact that more male recipients were smokers than women, which raises the question of whether male gender was a true risk factor [39].

The original cause of end-stage renal disease may also affect the risk of PTE. A study by Razeghi et al. revealed that patients with PKD and glomerulonephritis had higher risks of developing PTE [39]. We found in this meta-analysis that underlying PKD is the risk factor of PTE, but we did not find a significant association between underlying glomerulonephritis and PTE. Increased EPO is believed to be one mechanism for developing PTE in patients with PKD. Several studies found that serum EPO levels in patients with PKD are higher than with other renal diseases [58,59]. Normally, EPO is produced by interstitial fibroblast-like cells surrounding the renal tubules and controlled by an oxygen sensor in the epithelial cells of the proximal tubules [60,61]. However, there was a study that found EPO production occurs in the renal cysts of autosomal dominant PKD [62]. Moreover, renal structural changes in PKD may stimulate RAS resulting in the development of PTE [39]. Renin secretion appears to be increasing in PKD patients [63,64], especially with hypertension, and it may be caused by renal ischemia from cyst expansion [64].

We also found that decreased donor KT has higher risk of developing PTE compared with living-donor KT. There have not been many studies assessing this association, but one study reported that the KT recipient with deceased donors had higher plasma renin activity and aldosterone levels than those with living-donor KT [65]. So, RAS activation might explain an association between deceased donor KT and PTE. Pretransplant dialysis was another significant risk factor for PTE in our study, which confirmed the findings in several previous studies [15,18]. Erdem et al. reported that all PTE patients in their study had received dialysis prior to KT, but no PTE developed in patients who had direct KT [18]. A longer duration on dialysis was also related to PTE [17]. Moreover, pretransplant dialysis was found to be related to mortality and DCGF in KT patients [15]. However, there is no clear explanation for this relation-

Transplant renal artery stenosis was believed to be a risk factor for PTE with a possible mechanism of intrarenal hypoxia from renal artery stenosis causing subsequent EPO-dependent erythrocytosis [66]. However, we did not find any association between transplant renal artery stenosis and PTE in our meta-analysis. Pretransplant diabetes, hypertension, dialysis status, previous

0.004 P-value 90.0 0.12 0.75 0.16 0.55 0.56 0.16 0.26 0.1 0.03 0.01 0.34 0.31 12 (%) 33 32 13 13 44 44 48 48 12 0 39 9 5 5 6 0 Study heterogeneity ₽ 10.39 26.79 30.85 7.424.55 1.2 6.59 1.15 3.67 0.56 34.43 17.64 11.17 4.72 23.44 56.07 <0.00001 0.0007 0.003 P-value 0.01 0.08 90.0 0.34 0.04 0.62 0.68 0.34 0.92 0.6 0.44 0.3 Effect Estimate (M-H, Random) Risk Ratio (95% CI) .18 (1.03–1.35) .13 (0.88–1.46) 0.74 (0.54–1.03) 1.11 (0.91 - 1.36).02 (0.74–1.39) 0.78 (0.54-1.14) 0.98 (0.67, 1.41) .62 (1.38–1.91) .36 (1.11–1.67) .20 (0.96–1.49) .33 (0.98–1.80) 1.6 (1.02–2.51) 1.08 (0.79–1.48) 0.88 (0.54-1.42) 0.85 (0.65–1.13) 1.04 (0.87 - 1.25)1.14 (0.82–1.57) 0.90 (0.73-1.11) .56 (1.21–2.01) **Participants** 2,919 3,865 ,965 2,585 2,585 2,585 3,126 3,126 1,030 1,138 3,963 3,785 3,502 4,127 697 792 Number of studies 0 - 4 0 0 5 retransplant ACEI or ARB use retransplant hypertension retransplant transfusion isk factors of interest retransplant dialysis ecreased-donor KT Slomerulonephritis listory of smoking revious transplant lemtuzumab use retransplant DM yclosporine use asiliximab use ransplant RAS acrolimus use 1ale gender 1MF use AZA use TG use

ACEI, Angiotensin-converting-enzyme inhibitors; ARB, Angiotensin II receptor blocker; ATG, Anti-Thymocyte Globulin; AZA, Azathioprine; DM, Diabetes mellitus; MMF, Mycophenolate mofetil; PKD, Polycystic kidney disease; RAS, Renal artery stenosis.

Statistically significant values are in bold.

**Table 2.** Results of pooled risk factors.

Male Gender	Male	е	Fema	ile		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
Abdelrahman 2004	9	39	0	8	0.3%	4.28 [0.27, 66.90]	-
Abouelenein 2018	165	330	9	18	5.0%	1.00 [0.62, 1.61]	_
Ahmed 2012	38	156	2	44	1.2%	5.36 [1.35, 21.34]	-
Akcay 2005	35	119	8	36	3.5%	1.32 [0.68, 2.59]	( 1 to 1 t
Alasfar 2021	59	687	16	436	4.4%	2.34 [1.36, 4.01]	
Alzoubi 2020	179	812	35	437	6.3%	2.75 [1.95, 3.88]	-
Chan 1992	14	28	3	6	2.4%	1.00 [0.41, 2.42]	
Charfeddine 2008	25	49	6	13	3.6%	1.11 [0.58, 2.11]	
Erdem 2011	14	62	1	34	0.6%	7.68 [1.05, 55.89]	-
Frei 1982	16	30	2	6	1.5%	1.60 [0.49, 5.21]	-
Gaciong 1996	50	91	24	68	6.0%	1.56 [1.07, 2.26]	-
Glicklich 1989	25	128	10	52	3.6%	1.02 [0.53, 1.96]	
Glicklich 1999	8	15	4	9	2.5%	1.20 [0.50, 2.87]	
Gupta 2000	11	20	2	5	1.6%	1.38 [0.44, 4.32]	
Kessler 1996	14	28	4	8	2.9%	1.00 [0.46, 2.19]	
Khan 2021	18	57	1	10	0.7%	3.16 [0.47, 21.08]	-
Khedr 2009	13	38	2	7	1.4%	1.20 [0.34, 4.19]	
Kiberd 2009	50	318	9	193	3.4%	3.37 [1.70, 6.70]	
Kiykim 2009	8	33	5	22	2.1%	1.07 [0.40, 2.84]	
Kolonko 2009	60	183	11	91	4.0%	2.71 [1.50, 4.90]	
Kurella 2003	11	14	6	12	3.8%	1.57 [0.84, 2.95]	<del></del>
Lazaic 1997	6	10	3	8	1.9%	1.60 [0.57, 4.47]	
Najoua 2010	7	41	4	33	1.6%	1.41 [0.45, 4.40]	
Oymak 1995	7	17	3	7	1.9%	0.96 [0.34, 2.68]	-
Pollak 1988	16	29	6	13	3.5%	1.20 [0.61, 2.34]	
Quinibi 1991	78	140	15	46	5.3%	1.71 [1.10, 2.66]	
Rajasekar 2018	49	261	2	66	1.2%	6.20 [1.55, 24.82]	
Singh 2002	10	121	1	29	0.6%	2.40 [0.32, 17.98]	
Thevenod 1983	9	16	3	8	2.0%	1.50 [0.56, 4.05]	
Usalan 1998	10	19	0	1	0.4%	2.10 [0.18, 24.01]	
Wang 2002	7	12	1	6	0.7%	3.50 [0.55, 22.30]	
Webb 1987	42	75	8	23	4.0%	1.61 [0.89, 2.92]	-
Wickre 1983	29	56	24	46	6.0%	0.99 [0.68, 1.44]	-
Yeter 2020	47	159	12	88	4.1%	2.17 [1.22, 3.86]	
Yildiz 2003	68	106	18	48	5.8%	1.71 [1.16, 2.53]	-
Total (95% CI)		4299		1937	100.0%	1.62 [1.38, 1.91]	•
Total events	1207		260				30 37
Heterogeneity: Tau <sup>2</sup> : Test for overall effect				4 (P = 1	0.010); [2:	= 39%	0.01 0.1 1 10 1

## **History of Smoking**

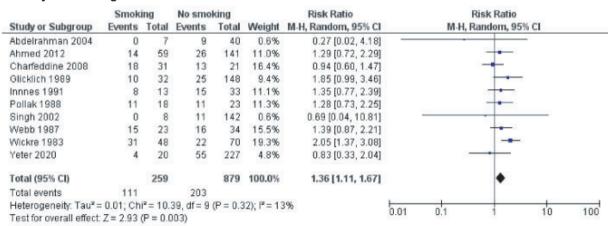
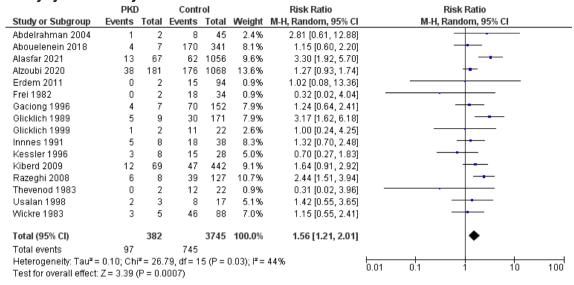
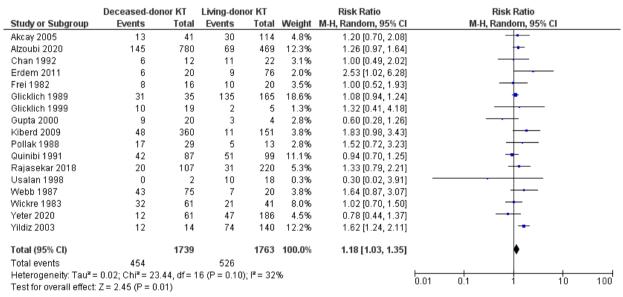


Figure 2 Forest plots of the included studies assessing the association between male gender, history of smoking, and PTE in KT patients.

# **Polycystic Kidney Disease**



# **Deceased Donor Kidney Transplant**



# **Dialysis Prior to Kidney Transplant**

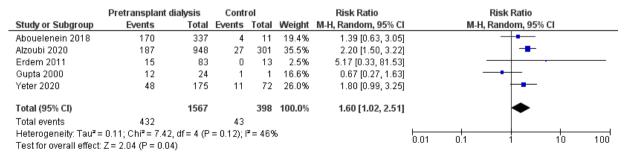


Figure 3 Forest plots of the included studies assessing the association between underlying PKD, deceased donor KT, pretransplant dialysis, and PTE in KT patients.

Table 3. Results of pooled outcomes.

Post-transplant outcomes			Effect estimate (M-H, Random)	,	Study h	eterc	geneity	
of interest	Number of studies	Participants	Risk Ratio (95% CI)	<i>P</i> -value	χ2	df	l <sup>2</sup> (%)	<i>P</i> -value
Thromboembolism	9	2,329	1.65 (0.85–3.19)	0.14	10.68	8	25	0.22
DCGF	4	2,822	0.78 (0.57-1.05)	0.11	4.26	3	30	0.23
Overall mortality	4	2,822	0.79 (0.49–1.26)	0.31	4.4	3	32	0.22

DCGF, Death-censored graft failure.

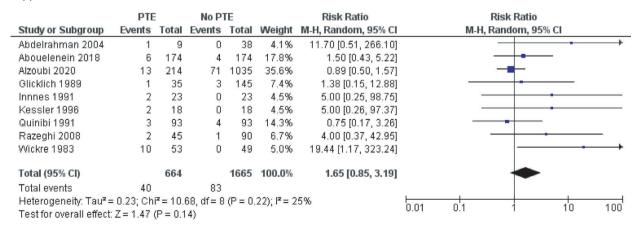
## (a) Overall Mortality

		PTE		No P	ΓE		Risk Ratio		Risk Ratio		
S	tudy or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	T I	VI-H, Random, 95%	o CI	
Al	bouelenein 2018	7	174	13	174	19.9%	0.54 [0.22, 1.32]				
Al	lasfar 2021	4	75	103	1048	17.7%	0.54 [0.21, 1.43]				
Al	Izoubi 2020	59	214	318	1035	59.8%	0.90 [0.71, 1.14]		=		
W	/ickre 1983	4	53	0	49	2.6%	8.33 [0.46, 150.89]				<b></b>
Te	otal (95% CI)		516		2306	100.0%	0.79 [0.49, 1.26]		•		
Ti	otal events	74		434							
Н	eterogeneity: Tau <sup>z</sup> =	0.08; Chi	$j^2 = 4.4$	0, df = 3 (	P = 0.2	2); $I^z = 32$	<b>!%</b>	0.01 0.1		10	100
T	est for overall effect:	Z = 1.01 (	(P = 0.3)	81)				0.01 0.1		10	100

#### (b) Death-Censored Graft Failure

	PTE		No Pi	ΓE		Risk Ratio		Risk Ratio		
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H,	, Random, 95%	CI	
Abouelenein 2018	25	174	37	174	27.9%	0.68 [0.43, 1.07]		-		
Alasfar 2021	4	75	131	1048	8.8%	0.43 [0.16, 1.12]	_	-		
Alzoubi 2020	79	214	449	1035	59.8%	0.85 [0.70, 1.03]				
Wickre 1983	5	53	2	49	3.5%	2.31 [0.47, 11.37]		-		
Total (95% CI)		516		2306	100.0%	0.78 [0.57, 1.05]		•		
Total events	113		619							
Heterogeneity: Tau <sup>2</sup> =	0.03; Ch	$j^2 = 4.2$	6, df = 3 (	P = 0.2	3); $I^2 = 30$	%	0.01 0.1		10	100
Test for overall effect:	Z = 1.62	(P = 0.1)	1)				0.01 0.1	.i	10	100

# (c) Thromboembolism



**Figure 4** Forest plots of the included studies assessing the association between PTE in KT patients and outcomes of (a) Overall mortality, (b) DCGF, (c) Thromboembolism.

transfusion, and the choice of immunosuppressive medications were also not associated with PTE.

For KT outcomes, there was no statistical significance in the risk of overall mortality, DCGF, and thromboembolism in KT patients with PTE in our analysis. Similar results have been reported in prior studies that PTE did not increase the risk of graft failure and mortality [15,22]. Moreover, a study has even reported improved survival in the patient with PTE [28]. However, there remains some controversy about the risk of thromboembolism among KT patients with PTE, and several studies and case reports showed a significantly higher risk of thromboembolism among PTE patients [46]. However, other studies found that PTE was not associated with venous thromboembolism [6,15,22,37,39]. Gruber et al. reported no differences in thromboembolic events between phlebotomized patients and nonphlebotomized patients [55].

The nonsignificant association in the KT outcomes may be because of an excellent response to treatment or good prognosis of PTE in general. As most of the patients included in our studies already received treatment and responded well to treatment with ACEI/ARB [5,67,68], phlebotomy [69], and/or theophylline [70-72]. The other reasons may be because of small sample size or short duration of follow-up.

Up to now, there have not been enough studies of outcomes of untreated patients with PTE. Given good responses and outcomes to treatment in KT recipients with PTE, it is crucial to identify PTE early and treat those patients promptly. Per KIDIGO 2009, they recommend treating all KT recipients with PTE [1]. The target Hgb among patients with PTE is not known but should be less than <17 g/dl (Hct <51%) in both men and women [73]. Initial treatment should be with ACEI or ARB, which can reduce Hct by an absolute value of between 4% and 15% [1,67]. Yildiz et al. conducted a randomized study to compare the efficacy of ACEI (enalapril) and ARB (losartan) in the treatment of PTE. They found that enalapril caused a greater decrease but faster relapse in Hgb levels compared with losartan [74]. In the patients with PTE who do not respond to ACEI/ARB or have contraindications or intolerance to ACEI/ARB, second-line therapy such as phlebotomy or theophylline can be considered. Phlebotomy is quite effective for PTE. A study performed by Barenbrock et al. showed that phlebotomy could reduce Hct by approximately 10% after two weeks of treatment [69]. Theophylline has also been found to be useful for PTE with absolute reductions in Hct of 8-12% [1]. However, multiple studies have found that theophylline is not as effective as an ACEI [75-77].

#### Limitations

There are a few limitations in our study. First, this was a meta-analysis of observational studies; we did not demonstrate a causal relationship between risk factors and outcomes in PTE patients. Second, there were heterogeneities in analysis. The heterogeneities were most likely from the difference in demographics, study type, and differences in definitions of PTE in each study. In addition, data on history of malignancy were limited among recipients with PTE and thus future studies are required to assess the impact of history of malignancy on the development of PTE.

#### **Conclusions**

In this study, we found that KT recipients with male gender, history of smoking, underlying PKD, deceased-donor KT status, and pretransplant dialysis were more likely to have PTE. However, PTE was not associated with poor KT outcomes, including overall mortality, DGCF, and thromboembolic events. Future research is needed to explore more about the association between PTE and each risk factor.

## **Authorship**

Poemlarp Mekraksakit: Conception design, Data acquisition, Data interpretation, Draft manuscript, Statistic analysis; Boonphiphop Boonpheng: Data acquisition, Draft manuscript, Statistic analysis; Natnicha Leelaviwat: Data acquisition, Draft manuscript; Samapon Duangkham: Draft manuscript; Anasua Deb: Draft manuscript; Jakrin Kewcharoen: Draft manuscript; Kenneth Nugent: Critical reading, Revise manuscript; Wisit Cheungpasitporn: Critical reading, Revise manuscript, Final approval.

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# **Conflicts of interest**

The authors have no conflicts of interest to declare.

#### **SUPPORTING INFORMATION**

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Figure S1.** Forest plots of the included studies assessing the association between underlying glomerulonephritis,

pretransplant diabetes, pretransplant hypertension and PTE in KT patients.

**Figure S2.** Forest plots of the included studies assessing the association between transplant RAS, pretransplant transfusion, previous transplant, ACEI or ARB use and PTE in KT patients.

**Figure S3.** Forest plots of the included studies assessing the association between induction immunosuppressive medications and PTE in KT patients.

**Figure S4.** Forest plots of the included studies assessing the association between maintenance immunosuppressive medications and PTE in KT patients.

**Figure S5.** Funnel plots of the significant risk factors of PTE in KT patients. Circles represent observed published studies.

**Figure S6.** Funnel plots of PTE in KT patients and outcomes of (a) Overall mortality, (b) DCGF, (c) Thromboembolism. Circles represent observed published studies.

**Table S1**. Newcastle-Ottawa quality assessment scale of included studies in meta-analysis (Cohort Studies).

**Table S2.** Newcastle-Ottawa quality assessment scale of included studies in meta-analysis (Case-control Studies).

**Table S3.** Newcastle-Ottawa quality assessment scale of included studies in meta-analysis (Cross-Sectional Studies).

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