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# The role of the cerebellum in dystonia

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Dystonia is a neurologic disorder characterized by abnormal muscle contractions and postures, which is vastly heterogeneous in its etiologies and clinical manifestations. The role of the basal ganglia in the pathogenesis of dystonia is well known, however, there has been a recent surge of evidence implicating the malfunction of a wide network, including a prominent role of the cerebellum. In this review article, we explore the role of the cerebellum in generating dystonia through multiple lines of basic science and clinical evidence. Neurophysiological, radiological, and pathological findings in various dystonia syndromes implicate an important role of the cerebellum. Dystonia additionally accompanies many known ataxic cerebellar disorders such as spinocerebellar ataxia. Genetic and pharmacologic mouse models of dystonia have demonstrated various degrees of cerebellar pathophysiology. There is emerging evidence supporting cerebellar neuromodulation in the treatment of dystonia. Lastly, we describe cerebellar, cortical, and subcortical motor connections which provide a connectomic basis where the cerebellum may play either a primary or ancillary role in generating dystonia.

## KEYWORDS

dystonia, cerebellum, deep brain stimulation, dystonia network, animal model

## Introduction

The role of the basal ganglia in the pathophysiology of dystonia is well-described. Our knowledge of genetic dystonias gives us a glimpse into their heterogeneous underlying pathophysiologies. As an example, of the known monogenetic dystonias, a plurality of conditions involve defects in the dopamine signaling pathway, whose role is extensively studied within the basal ganglia. In contrast, while there are known dopaminergic pathways within the cerebellum, much less is known about their functional significance and contribution to pathology [1]. This may partly explain the preponderance of earlier direct evidence explaining the involvement of the basal ganglia in dystonia. However, it is now well-accepted that other areas of the brain are involved in the genesis, modulation, and sequela of dystonia. In particular there has been recent attention towards the role of the cerebellum. Multiple articles to date have described converging lines of evidence through genetic and pharmacologic models of mice, imaging studies, deep brain stimulation (DBS) studies, microelectrode studies, and

transcranial magnetic stimulation (TMS) interventional studies. Although the cerebellum is now well accepted as an etiological culprit in causing dystonia, the precise underpinnings have yet to be fully elucidated.

Table 1 describes cerebellar pathologic findings in autopsy studies of various dystonias. Literature reviews examining focal lesions causing dystonia show that lesions of the basal ganglia are most commonly implicated, although there exist numerous cases of cerebellar lesions causing secondary dystonia [2–4]. Furthermore, dystonia is a known co-existent clinical feature in numerous genetic cerebellar disorders. The prevalence of dystonia has been reported to be 13% in spinocerebellar ataxia type 1 (SCA1), 14% in SCA2, 23% in SCA 3 and 5% in SCA6 in the EUROSCA cohort [5]. Interestingly, longer CAG repeat lengths in SCA3 are more likely associated with dystonia, and presence of dystonia is associated with more severe ataxia in SCA1, 2, three but does not change the rate of ataxia progression [6]. Furthermore, around 100 genes have been linked to both ataxia and dystonia, many of which are involved in synaptic transmission and the nervous system development, including some that play a specific role in cerebellar development [7]. Essential tremor, which is known to be a disorder of cerebellar dysfunction, can coexist with idiopathic dystonia. A recent update on tremor by the Movement Disorder Society (MDS) coined the term “Essential Tremor-Plus” for patients with characteristics of essential tremor and other soft neurological signs such as dystonic posturing [8].

Yet if cerebellar dysfunction can cause dystonia, one might ask why most focal lesions of the cerebellum do not. Furthermore, why do only some, but not all, dystonia syndromes show signs of ataxia? In 2014, Prudente and colleagues proposed that most lesions (or similarly, degenerative conditions) cause hypofunction of cerebellum, which lead to the classic syndrome of motor ataxia. Alternatively, lesions or biochemical perturbations causing altered function of the cerebellum may instead generate dystonia [9]. This is in line with the varied clinical signs seen in other neural motor substrates. For instance, lesions of the primary motor cortex causing hypofunction will classically cause contralateral motor weakness, whereas motor cortex hyperexcitability may cause cortical reflex myoclonus or focal motor seizures [10–12]. Motor neuropathy with hypofunction causes weakness, whereas peripheral nerve hyperexcitability leads to cramps, fasciculations, or neuromyotonia [13].

As early as the 1980s, mouse models of dystonia have shown abnormal cerebellar metabolism [14, 15]. In 2002, Pizoli et al. injected kainite (a glutamate agonist; an excitatory neurotransmitter) into the cerebellar vermis in normal mice and found subsequent dystonic behavior. Interestingly, there was no dystonic response when kainite was injected into the basal ganglia or the lateral ventricles [16]. Recent studies have demonstrated abnormal distinct cerebellar firing patterns from Purkinje cells and deep cerebellar nuclei of dystonic mice [17,

18]. The cerebellar output nuclei seem to have differing aberrant spike patterns (especially in regards to rhythmicity and inter-spike interval) between mouse models of dystonia, ataxia, and tremor [19]. Furthermore, when these spiking patterns were replicated in healthy mice, the motor phenotype matched the spiking patterns seen in disease models [19]. This suggests that the abnormal spike patterns seen in cerebellar movement disorders are 1) distinct from each other and 2) not purely a result of plasticity or pathway alterations. Table 2 describes cerebellar dysfunction in various mouse models of dystonia.

The features of dystonia are vastly heterogeneous. It is because of this heterogeneity that one cannot ascribe dystonia to a singular anatomical defect. Rather, depending on the etiology, there is variable malfunction in different parts of a network which include the basal ganglia, cerebellum, and cortex. In this review, we describe several lines of evidence implicating cerebellar dysfunction as a driving force in disruption of a broader network involved in the pathogenesis of dystonia.

## Focal dystonias

Focal dystonias are most commonly adult-onset and favor one group of muscles. They are most commonly idiopathic but may be associated with focal brain lesions or other neurologic conditions. Cervical dystonia (CD) involves sustained or intermittent overactivation of the neck muscles causing a turn, tilt, or tremor of the head and neck. Blepharospasm manifests as increased blink rate and spasms of eye closure. Spasmodic dysphonia involves dystonia of the vocal cords. Focal limb dystonia involves task-specific hand and foot postures. Contiguous body segments may manifest as segmental dystonia, such as Meige syndrome which involves the craniocervical areas.

Lesional cases of focal dystonia are often clinically indistinguishable from idiopathic focal dystonia [2]. A literature review of symptomatic (secondary) cervical dystonia due to focal CNS lesions showed that 11 of 25 contributory lesions were located in the cerebellum, and that all 25 lesions were functionally connected to the cerebellar vermis, dentate nucleus, or cerebellar cortex [2]. Similarly, in secondary blepharospasm, nine of 48 contributory lesions were in the cerebellum [3]. Another review of published cases of lesion-induced dystonia involving over 350 cases showed a correlation between the location of the lesion and the clinical phenotype, with lesions of the brainstem and cerebellum being more often associated with cervical dystonia and blepharospasm compared to lesions involving the basal ganglia and thalamus, which were associated more with limb and hand dystonia, respectively [20].

Patients with focal dystonia demonstrate abnormalities in measures of cerebellar function. For instance, CD and focal limb dystonia patients demonstrate an abnormal eye blink reflex to classical conditioning - a pathway dependent on cerebellar

TABLE 1 Cerebellar neuropathology in dystonia.

Dystonia type	Cerebellar pathologic findings	Extra-cerebellar pathologic findings	Comments	References
CD	Loss of Purkinje cells, areas of focal gliosis and torpedo bodies	Substantia nigra with ubiquitin-positive intranuclear inclusions known as Marinesco bodies.	6 postmortem samples of CD patient compared to 16 age-matched controls	Prudente et al, 2013 [30]
DYT-TOR1a	Mild-moderate Purkinje cell depletion.	Mild neuronal loss in the Caudate, Putamen, STN, SN, GP, and thalamus	2 symptomatic and 5 asymptomatic DYT1 carriers Authors reported no consistent disease specific pathological features and that the findings are most likely related to advanced age at death	Paudel et al, 2014 [45]
DYT-TOR1a	Neuronal hypertrophy of DN in all DYT1 carriers	N/A	4 symptomatic and 3 asymptomatic DYT1 carriers compared to 5 controls	Iacono et al, 2023 [44]
DYT12 -RDP (ATPIA3 mutation)	Mild to moderate neuronal loss/gliosis in Purkinje and granule cell layers, and DN. In 3 siblings (2 symptomatic and 1 asymptomatic carrier), there was swelling of axons of the Purkinje cells (torpedoes)	Neuronal loss/gliosis in GP, STN, periaqueductal gray matter, RN, inferior olivary nucleus	4 siblings (3 symptomatic, 1 asymptomatic carrier) compared to 16 controls Cerebellar samples were available in only 2 of the symptomatic patients and were compared to the asymptomatic sibling Asymptomatic sibling did not have significant degeneration in cerebellar and extracerebellar regions	Oblak et al, 2014 [55]
Dystonia associated with SCA 1,2, 3, 6, 7	Variable degrees of Purkinje and deep cerebellar neuronal loss	Widespread neuronal loss of cerebral cortex, basal ganglia, thalamus, brainstem, sensory pathways	SCA 6 neuropathology is confined to the cerebellum	Rub et al, 2013 [61]
Ataxia telangiectasia-related dystonia	Severe cerebellar cortical and Purkinje cell loss with less pronounced atrophy of the DN and the inferior olivary nucleus	Dorsal column degeneration and neurogenic muscular atrophy.	Variable phenotypes including focal, multifocal and generalized dystonia	Verhagen et al, 2012 [93] Kuhm et al, 2015 [94] Meneret et al, 2014 [95]

Abbreviations: CD, cervical dystonia; STN, subthalamic nucleus; SN, substantia nigra; GP, globus pallidus; DN, dentate nucleus; RN, red nucleus; SCA, spinocerebellar ataxia.

circuits [21]. The cerebellum also plays an important role in motor adaptation. Adaptation of gait is abnormal in blepharospasm and focal hand dystonia, but not in CD [22]. Other the other hand, patients with CD are unable to adapt their saccadic eye movements to varying stimuli [23]. In the syndrome of oculopalatal tremor (OPT), a focal brainstem lesion creates pseudohypertrophy of the inferior olive, which generates maladaptive hypersynchronous cerebellar input and output [24, 25]. These OPT patients are unable to perform saccade adaptations, just as patients with cervical dystonia [23]. It is believed that such oscillations and abnormal saccadic adaptation in cervical dystonia are due to a maladaptive process occurring at the cerebellum [26]. This lends support to the notion that it may be cerebellar maladaptive altered function or hyperactivity, rather than hypofunction, which can cause dystonia.

Further evidence indicates blepharospasm patients have decreased fMRI connectivity from the cerebellum to both somatosensory and visual association cortices [27]. However, a more recent study found increased fMRI connectivity between

the dentate nucleus with the bilateral sensorimotor cortices in patients with cervical dystonia and blepharospasm compared to healthy controls, hypothesizing that there is a loss of the normal anticorrelation between the cerebellum and these cortical areas [28]. Despite some variability of the above studies, they all show an overall altered connectivity between the cerebellum and multiple areas believed to be involved in the dystonia network.

Pathologic studies of isolated focal dystonias have largely shown heterogeneous areas of cell loss [29]. However, idiopathic (non-lesional) CD patients have been found to have reduced number of Purkinje cells, increased torpedo bodies (Purkinje axon swellings), and increased cerebellar gliosis compared to controls [30].

Voxel-based morphometry (VBM) uses MRI to compute differences in size of neuroanatomical structures. Using this method, cervical dystonia patients are shown to have increased size of the cerebellar flocculus [31], hemispheres [32], and vermis [33], compared to controls. Patients with focal hand dystonia had decreased size of cerebellar

TABLE 2 Cerebellar dysfunction in dystonic mice.

Mouse model	Disease modeled	Findings	References
Dt Rat – Autosomal recessive mutation of ataxin gene leading to caytaxin protein deficiency	Generalized dystonia	Reduced 3'/5' cGMP levels (a biomarker for Purkinje cells) in the cerebellum Abnormal bursting firing patterns in the cerebellar nuclei Cerebellectomy eliminated the dystonic behavior	LeDoux et al, 1995 [65] LeDoux et al, 1993 [67] Lorden et al, 1985 [15] Xiao et al, 2005 [96]
Kainic acid injection into normal mice	Generalized Dystonia	Dystonia in response to kainic acid injection into the cerebellum	Pizoli et al, 2002 [16]
CACNA1A Leaner mouse	Ataxia and generalized dystonia	Abnormal cerebellar transmission and pacemaking	Ovsepian et al, 2008 [62]
Transgenic mice expressing mutant human torsinA Protein	Generalized dystonia	Increase metabolic demand in the inferior olive and Purkinje cell layer	Zhao et al, 2011 [49]
Oubain injection into normal mice	RDP like dystonic behavior	Dystonia in response to Oubain (an ATPase inhibitor) injection either into the cerebellum and basal ganglia or only into the cerebellum When ouabain is injected into only the basal ganglia, mice develop parkinsonism but not dystonia	Calderon et al, 2011 [54]
<i>Dyt1</i> ΔGAG heterozygous knock-in mice	DYT-TOR1a	Improved motor performance after conditional cerebellar Purkinje knock-out of DYT1	Yokoi et al, 2013 [66]
Oubain injection into normal mice	RDP like dystonic behavior	Dystonia in response to Oubain infusion into the cerebellum Abnormal high frequency erratic bursting firing activity of deep cerebellar nuclei and Purkinje cells The dystonia and abnormal firing activity were reversible after removal of Oubain	Fremont et al, 2014 [57]
Two DYT1 mice strains: heterozygous torsinA knockout mice and human ΔGAG mutant torsinA mice	DYT-TOR1a	Compromised cerebellar synaptogenesis	Vanni et al, 2015 [50]
TorsinA knock down mice	DYT-TOR1a	Abnormal bursting firing activity of deep cerebellar nuclei and Purkinje cells TorsinA knockdown in the cerebellum, but not in the basal ganglia, was sufficient to induce dystonia	Fremont et al, 2017 [51]
CACNA1A Mutation in two mice populations: Tottering mouse and Rocker mouse	Paroxysmal dystonia	Paroxysm of dystonia with interictal ataxia Cerebellectomy resulted in resolution of dystonic movements in tottering mice	Shirley et al, 2018 [63]
Loss of <i>Vglut2</i> leading to elimination of inferior olivary input to cerebellum	Dystonia	Irregular cerebellar firing patterns from Purkinje cells and deep cerebellar nuclei Dystonic behavior improved with cerebellar DBS.	Brown et al, 2023 [17] White et al, 2017 [97]

Abbreviations: cGMP, cyclic guanosine monophosphate; *Vglut2*, Vesicular glutamate transporter 2; DBS, deep brain stimulation.

hemispheres compared to controls [34]. A recent meta-analysis of brain abnormalities in patients with idiopathic cervical dystonia revealed significant and diffuse structural (using VBM) and functional imaging changes involving multiple cortical and subcortical areas. With regards to the cerebellum, there was increased gray matter volume in the right hemisphere but decreased volume in the left hemisphere, with increased overall cerebellar activity compared to control. There were no details regarding the laterality of the dystonia [35]. Overall, these studies suggest significant heterogeneity even within specific types of focal dystonia but further demonstrate the metabolic and structural derangements within the cerebellum.

Another way to study the pathogenesis of dystonia is using transcranial magnetic stimulation (TMS). Continuous Theta Burst Stimulation (cTBS) is considered to be an inhibitory form of TMS, whereas intermittent Theta Burst Stimulation (iTBS) is considered to be an excitatory stimulus. In this fashion, the effects of TMS on the cerebellum can be measured in terms of excitability on the contralateral primary motor cortex (M1). Whereas normal subjects have a reduced motor evoked potential (MEP) in response to a cerebellar conditioning stimulus, those with cervical dystonia lack such a response. Both facilitation (using iTBS) and inhibition (using cTBS) of MEP were impaired in cervical dystonia patients compared to

controls [36]. This was similarly shown in patients with focal limb dystonia [37]. Remarkably, therapeutic iTBS of the cerebellum, in combination with motor training, seems to improve this maladaptive plasticity in cervical dystonia patients [38]. Both cervical dystonia patients, as well as normal controls whose heads are turned, have opposite directions (compared to neutral position controls) of M1 plasticity in response to cerebellar TMS. In the same study, vibration over the sternocleidomastoid had a similar effect [39]. This suggests that abnormal cerebellar processing of proprioceptive inputs may cause dysfunction in cervical dystonia, which is further discussed in the section on neural integration.

## DYT-TOR1a

DYT-TOR1a (formerly DYT1) is the most common form of primary generalized dystonia. It is caused by autosomal dominant variant of the *TOR1a* gene with incomplete penetrance [40]. The exact function of the protein torsin1a is unknown, but it is thought to be involved in cellular transport. It is expressed in the cerebellum, striatum, hippocampus, and substantia nigra [41]. DYT-TOR1a patients are known to have increased metabolism of the cerebellum, thalamus, and midbrain on PET imaging [42]. Disruption of cerebellar cholinergic signaling has also been found in DYT-TOR1a patients [43]. The histopathology is characterized by neuronal hypertrophy of the dentate nucleus [44], mild to moderate Purkinje cell loss in all cases, alongside gliosis of the striatum and substantia nigra [45]. There also appear to be enlarged dopaminergic nigral neurons [46]. The majority of mouse studies show normal gross brain anatomy, but there are microstructural changes in the cerebellum [47, 48] of DYT1 knock-in mice. In another transgenic mouse model, there is an increased energy demand in the cerebellum, but decreased in the basal ganglia [49]. There is also compromised cerebellar synaptogenesis in DYT1 knockout mice [50]. Another model showed that knockdown of torsinA in the cerebellum, but not the basal ganglia, results in generalized dystonia [50]. This occurs in a dose-dependent manner. In the same mice, *in vivo* recordings of the deep cerebellar nuclei show an erratic abnormal burst pattern compared to a tonic pattern seen in normal mice. Their Purkinje cells also demonstrate abnormal bursting. Knockdown of torsinA additionally disrupts the intrinsic activity (which is independent of synaptic input) of both deep cerebellar nuclei and Purkinje cells [51]. In addition to exhibiting abnormal firing patterns, Purkinje cells in DYT1 knock-in mice demonstrate a reduced peak firing frequency. This reduction is hypothesized to result from an upregulation in both the expression and activity of large conductance calcium-activated potassium (BK) channels

within Purkinje neurons [52]. These transmembrane channels modulate neuronal excitability by facilitating membrane hyperpolarization through potassium efflux [53]. Given that Purkinje cells exert inhibitory control over the deep cerebellar nuclei (DCN), a decrease in their firing frequency may lead to disinhibition of the DCN, and ultimately an abnormally enhanced cerebellar outflow. These models implicate an abnormality of the cerebellum as a primary site driving dysfunction in DYT-TOR1a.

## Rapid-onset dystonia parkinsonism

Rapid-onset dystonia parkinsonism (RDP, also known as DYT12) is caused by an autosomal dominant variant leading to loss of function of *ATPIA3* [54, 55]. Unlike many other primary dystonias, the function of this gene is known - it encodes the alpha3 isoform of the Na-K ATPase. The Na-K pump is expressed throughout the brain, but this isoform is highly expressed in cerebellar Purkinje cells [55]. On histopathology there is mild to moderate neuronal loss and gliosis of Purkinje cells and dentate nucleus, as well as neuronal loss in globus pallidus and STN [55].

When ouabain (an ATPase inhibitor) is injected into the cerebellum and basal ganglia of normal mice, they develop mild motor symptoms which transforms into persistent dystonia when the mice are stressed. Remarkably, when ouabain is injected into only the basal ganglia, those mice become parkinsonian but not dystonic. Yet when it is injected into only the cerebellum, they become dystonic. Furthermore, when the centrolateral nucleus of the thalamus (which relays input from the cerebellum to the striatum) is lesioned, those mice do not become dystonic with ouabain infusion [54]. This suggests that RDP results from a loss of connection from the cerebellum to the basal ganglia. Similar to the DYT1 mouse model, the ouabain RDP mice also demonstrate abnormal high frequency bursting activity in the deep cerebellar nuclei as well as Purkinje cells [56]. Thus, in the dystonias where cerebellar abnormalities are a primary driving force, there may be characteristic bursting patterns, which lends further support towards targeted therapies like TMS.

## CACNA1A

*CACNA1A* encodes a calcium channel subunit. In humans, variants of *CACNA1A* cause numerous phenotypes including episodic ataxia type 2 (EA2), familial hemiplegic migraine (FHM), and spinocerebellar ataxia type 6 (SCA6) [57]. Most cases with point mutations cause the episodic disorders FHM or EA2, whereas CAG repeat expansions are described to cause the chronic progressive form of SCA6 [57]. Overlap syndromes do exist, and may depend on length of repeat expansion [57]. Additionally, cases have been described in which a variant

causes progressive generalized dystonia with mild late ataxia [58], activity-induced dystonia and mild ataxia [59], and episodic ataxia with interictal dystonia [60]. The neuronal toxicity of SCA6 is caused by a calcium channelopathy due to defective  $\alpha 1$  subunit of CaV2.1. As a result, SCA6 neuropathology is relatively restricted to the cerebellar cortex [61]. While presence of dystonia was associated with more severe ataxia in SCA 1, 2 and 3, it may predict a slower progression of ataxia in SCA6 [6]. It is possible that the subset of patients with SCA6 and dystonia may exhibit a different compensatory mechanism for loss of Purkinje cells, in which a maladaptive change in physiology protects against ataxia but results in dystonia.

Mouse models of *CACNA1A* Leaner mice have truncated *CACNA1A* variants that are used as a model of Purkinje cell neurodegeneration. They develop dystonia at a young age during which there is no Purkinje cell loss but have abnormal cerebellar transmission and pacemaking [62]. However, the dystonic movements improve over time, which paradoxically correlates with decreasing Purkinje cell density over time. This is in line with the notion that cerebellar loss of function (for instance with degenerative cell loss) causes ataxia, whereas altered function may instead cause dystonia [9]. The tottering mouse is a model involving *CACNA1A* point mutation which exhibits paroxysms of dystonia with interictal ataxia, and the rocker mouse is yet another *CACNA1A* variant that exhibits a different type of paroxysmal dyskinesia [63]. Notably, in tottering mice, cerebellectomy resulted in resolution of dystonic movements [64]. This is consistent with other studies which have demonstrated improvement following cerebellar lesioning in mice [65–67]. This suggests that with regards to dystonia, absence of cerebellar function may be preferable to malfunction. Overall, the heterogeneity in phenotypes within these mouse models is illustrative of the different cerebellar pathologies that can cause varying degrees of ataxia, dystonia, or both, in the same individual.

## Ataxia-telangiectasia

Ataxia-telangiectasia (AT) is an autosomal recessive disorder caused by a variant of the *ATM* gene, which plays roles in DNA repair and apoptosis. It is a multisystem disorder which principally affects the cerebellum and brainstem [68]. On pathology, there is severe cerebellar atrophy, in addition to atrophy of the inferior olives and dentate nuclei. The most common initial manifestation is ataxia, however 89% of patients develop dystonia over the course of the disease, which can be focal or generalized [68]. The number and severity of manifestations seem to be related to the level of ATM kinase activity [68]. Yet dystonia-predominant forms of AT tend to present with a lower incidence of ataxia and cerebellar atrophy compared with classic forms of the disease [69]. This further illustrates that it may be abnormal cerebellar function, rather than loss of function, which can generate dystonia.

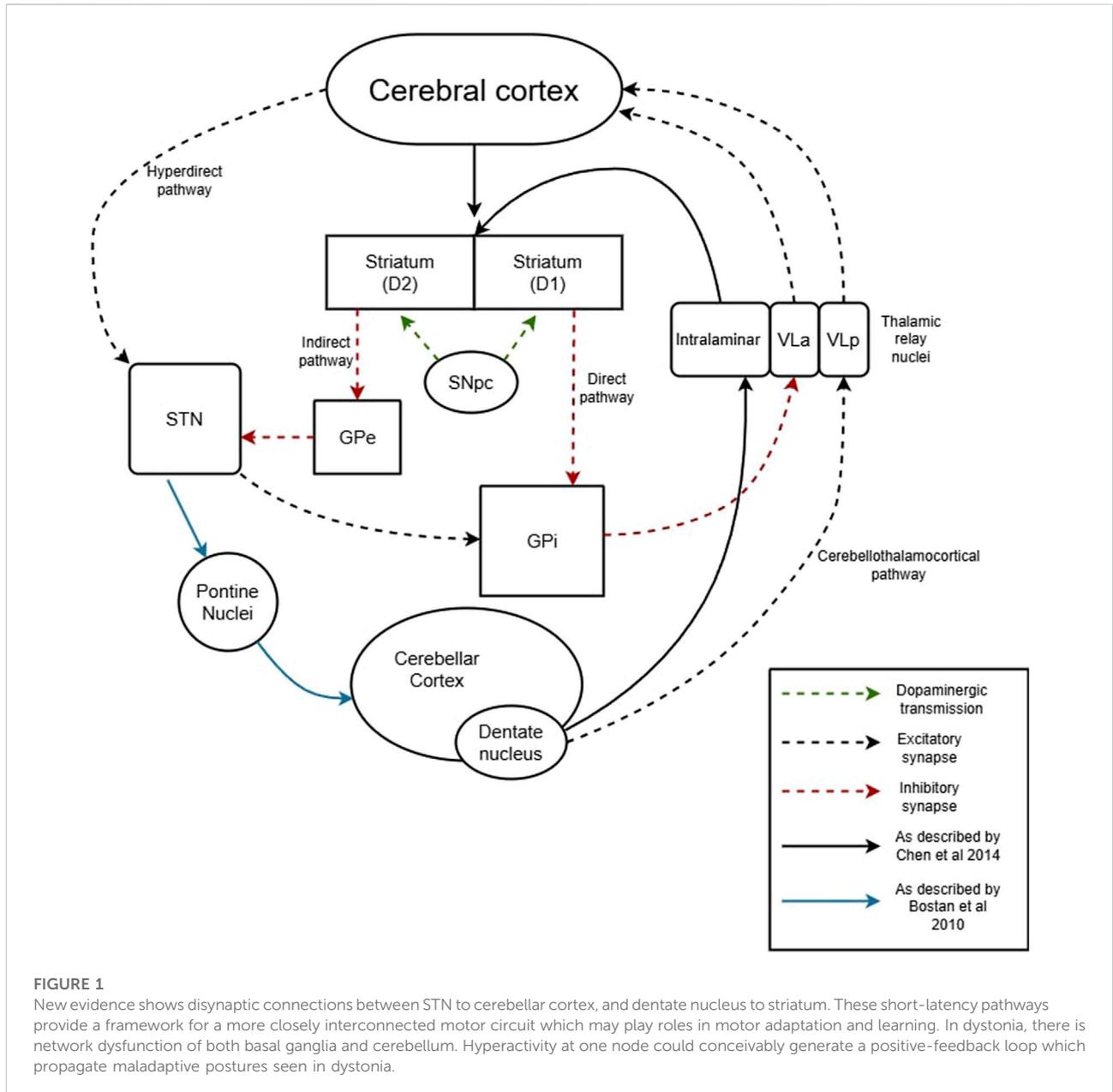
## Role of the cerebellum in network dysfunction

Cerebellar function is not universally affected in dystonia. For instance, when measured by eye blink classical conditioning, the cerebellum is dysfunctional in cases of primary but not secondary dystonia [70]. Its involvement in neuroimaging and neuropathologic studies is also inconsistent, yet cerebellar dysfunction clearly plays a direct role in some dystonias and an indirect role in others.

The basal ganglia and cerebellum have previously been considered two distinct subcortical systems performing distinct functions. It was thought that the basal ganglia and cerebellum only communicate through higher order cortical relay, and thereby function independently by relaying projections to cortical areas via separate thalamic nuclei. The cerebello-thalamo-cortical connection which is vital for motor learning and coordination is well-described, yet more recent studies have demonstrated direct connections between the cerebellum and basal ganglia. Recent research has proved that there is a disynaptic connection from the motor subthalamic nucleus (STN), via pontine nuclei, to the cerebellar cortex [71, 72]. Additionally, the cerebellar dentate nucleus has a disynaptic connection, via the intralaminar nucleus of the thalamus, directly to the striatum [72]. The latter synapse is disproportionately connected to the external over the internal segment of the pallidum, which suggests this circuit may have more influence on the indirect pathway [73]. Figure 1 summarizes many of these connections. Taken together, these findings show the existence of a bidirectional connection between the cerebellum and basal ganglia and provide evidence towards a tight network system involving the basal ganglia, cerebellum, and cerebral cortex. It is hypothesized that these pathways may be involved in normal motor learning and adaptation [73]. In disease states like dystonia, abnormal activity at one node can directly lead to a maladaptive response downstream.

In idiopathic dystonia, there is overactivity in the STN [74] and cerebellum. In the context of the above model, perhaps some dystonias result from overactivity of one of the structures, with a resultant positive feedback loop propagating the overactivity. Other authors suggest the STN hyperactivity leads to deficient external pallidal “surround inhibition” in the indirect pathway which causes the overflow movements seen in dystonia [74]. Some dystonias may result from altered function of the cerebellum, possibly related to dystonia-specific spike signatures [9, 19]. Yet in others like RDP, the loss of function in the cerebellum may directly generate dystonia likely through its interaction with the basal ganglia.

In our attempt to conceptualize the large quantities of pathological, imaging, and mouse data gathered to date, we are often left with more questions than answers. Why do some focal lesions of the cerebellum and its outflow tracts cause ataxia, while others cause dystonia, and still others cause both? Why are there conflicting reports of both increased and decreased cerebellar volume sizes in the same idiopathic dystonic disease states? Why do *CACNA1A* Leaner mice improve over time with regards to



dystonia, and yet their Purkinje cells progressively degenerate? The precise mechanisms of pathogenicity within this basal ganglia, cerebellar, and cortical network system do not appear to be a universal rule, but rather dependent on the functional nuances of the underlying disorder. The distinction between hypofunction and “altered” function of the cerebellum may be the culprit in heterogenous genetic conditions such as CACNA1A and ataxia telangiectasia, or in lesional cases of focal dystonia. In other conditions such as RDP, a functional disconnection syndrome may exist where the loss of communication between the cerebellum and other motor centers may be the driving force. There remains a possibility of yet unknown variables which predispose individuals to developing dystonia in response to cerebellar pathology.

### Cerebellar input into neural integration

In 2002, a series of experiments in macaques utilizing stimulation and inactivation of the midbrain interstitial nucleus of Cajal (INC) implicated this nucleus as a neural integrator of head positioning in the torsional plane [75]. In these experiments, inactivating and stimulating the INC created opposite directions of laterocollis and dystonic head tremor. The authors further posited that in cervical dystonia, there is either intrinsic dysfunction or an imbalance of inputs into the head positioning neural integrators. This is in line with the phenomenology of tremor-predominant cervical dystonia, which produces head drifts towards an abnormal

TABLE 3 Case reports of cerebellar modulation for treatment of dystonia.

Condition	Modality	Target	Outcome	Comments	References
CD	cTBS	Bilateral cerebellar hemispheres	~15% improvement in TWSTRS at week 2 No significant difference in BFMDRS-MS.	Double blinded, placebo- controlled trial. 20 patients included 2 weeks of stimulation	Koch et al, 2014 [92]
CP with dystonia	DBS	Bilateral deep anterior lobes of the cerebellum	~42% reduction in median total UDRS at the end of follow-up	Retrospective review of 10 CP patients The median time of follow up was 5.5 years	Sokal et al, 2015 [81]
CD	iTBS	Bilateral cerebellar hemispheres	~39% improvement in TWSTRS at day 10	Double blinded, placebo- controlled trial. 10 patients total were included 10 days of stimulation	Bradnam et al, 2016 [38]
Generalized idiopathic dystonia	DBS	Bilateral SCPs and DN	~40% reduction in BADS at 6 months follow up	Case report, previously failed to respond to bilateral pallidotomy and intrathecal baclofen	Horisawa et al, 2019 [84]
Acquired hemi-dystonia	DBS	Bilateral cerebellar hemispheres	~40% reduction in BFMDRS-MS, at 2 years follow up	Case report, prior two thalamotomies with transient benefits	Brown et al, 2020 [86]
CP with dystonia	High frequency DBS	Bilateral SCPs and DN	~36% reduction in BFMDRS-MS at 6 months follow-up	Case report, failed bilateral GPi DBS. DN stimulation needed stronger stimulation intensity and was accompanied by several side effects	Lin et al, 2020 [88]
Multifocal idiopathic dystonia and tremor	DBS	Bilateral SCPs and DN	~92% improvement in BFMDRS-MS and complete resolution of the tremor at 6 months follow up	Case report, infection necessitated removal of BG leads and placement of palliative cerebellar leads	Horisawa et al, 2021 [85]
Acquired dystonia	DBS	Cerebellar cortex	~70% reduction in BFMDRS-MS	Case report	Stroud et al, 2022 [90]
CP with dystonia	DBS	Bilateral SCPs and DN	19%–40% reduction in BFMDRS-MS at 2–3 months follow up	Case report, 3 patients included	Cajigas et al, 2023 [87]
CP with dystonia	DBS	Bilateral SCP	30% reduction of BFMDRS-MS at 12 months follow up	Case report, 5 patients included	Lin et al, 2024 [89]

Abbreviation: CD, cervical dystonia; CP, cerebral palsy; cTBS, continuous theta burst stimulation; iTBS, intermittent theta burst stimulation; DBS, deep brain stimulation; TWSTRS, Toronto Western spasmodic torticollis rating scale; BFMDRS-MS, Burke–Fahn–Marsden Dystonia Rating Scale–Movement Scale; UDRS, unified dystonia rating scale; BADS, Barry-Albright Dystonia Rating Scale; BG, basal ganglia; GPi, Globus pallidus internus; SCP, superior cerebellar peduncle; DN, dentate nucleus.

null point and quick jerks towards an intended target. Cervical dystonia is unique amongst dystonias in that there are many physiologic inputs which, when disturbed, can alter one's sense of head-on-body position and thereby generate abnormal postures. The cerebellum provides one source of feedback to the neural integrator of head position which serves to maintain postures. The other two major inputs are the visual system and neck proprioception [76]. Subconscious proprioceptive information is also relayed through the cerebellum providing further feedback towards head position [77]. Malfunction of any of these systems, including cerebellum, can affect the functioning of the head neural integrator and lead to pathologic postures.

This newer neural integrator framework may also suggest novel avenues for treatment targets. For instance, cerebellar TMS may possibly exert some of its effect via disruption of pathologic proprioceptive integration [39]. Additionally, the framework remains compatible with existing views on the physiology of cervical dystonia because it highlights the same anatomical substrates playing roles in a feedback system. Large lesional studies of patients with secondary focal dystonias suggest that

there may be separate networks underlying specific dystonic phenotypes [78]. Cervical dystonia patients are more likely to have lesions of the cerebellum or brainstem compared to other focal dystonias, which supports the neural integrator model in cervical dystonia. In contrast, focal limb dystonia patients are more likely to have lesions within the basal ganglia [78].

## Neuromodulation of the cerebellum in the treatment of dystonia

Given the recent evidence suggesting that the basal ganglia, cerebellum and cerebral cortex function as nodes within an integrated network, there has been a growing interest in application of cerebellar DBS to treat dystonia. Stereotactic surgery of the cerebellum was an early treatment modality to address other motor disorders such as spasticity and chorea. The first surgical procedure of the dentate nucleus was carried out in 1935 [79]. In the early 1970s, Irving Cooper implanted electrodes to stimulate the anterior lobe of the cerebellum for cerebral palsy as well as

intractable epilepsy [80]. The procedures were largely abandoned when they were replaced by treatments such as intrathecal baclofen and botulinum toxin injections, but interest has now re-emerged. Deep Anterior Cerebellar Stimulation (DACS) is a form of DBS therapy targeting the bilateral anterior cerebellar lobes, and is being used for spasticity due to cerebral palsy. Given that dystonia often co-exists with spasticity in these individuals, improvement in both focal and segmental secondary dystonia has been reported with DACS [81]. There are many reports of motor improvement following cerebellar DBS in dystonic mice models [17, 82]. One study demonstrated improvement that was objectively measured using EMG recording of neck muscle activity [83].

Few case reports have been reported in humans utilizing cerebellar DBS. Table 3 summarizes reports on cerebellar neuromodulation in dystonia patients. Horisawa and colleagues reported a robust response to dentate nucleus DBS in a patient with severe generalized fixed dystonia refractory to bilateral pallidotomy and intrathecal baclofen [84]. The same group later reported a case of improvement in both tremor and dystonia in a patient who underwent palliative cerebellar DBS after an infection necessitated removal of his basal ganglia leads [85]. Similarly, Ostrem et al reported a patient with hemi-dystonia secondary to ischemic injuries to bilateral basal ganglia and brainstem. Two thalamotomies failed to offer benefit, and her lesioned brain tissue was not amenable to traditional DBS targets. Therefore, bilateral dentate nuclei were targeted with a resultant 40% improvement on Burke-Fahn-Marsden Dystonia Rating Scale (BFMDRS) which was sustained at 24 months [86]. Lastly, at least six patients with dyskinetic cerebral palsy and resultant dystonia have been reported to benefit from DBS targeting the cerebellum or its outflow tracts [87–90]. An ongoing trial seeks to evaluate dentate nucleus DBS for treatment of dyskinetic cerebral palsy in ten young patients [91]. Notably, cerebellar DBS has mainly been applied thus far in the absence of traditional DBS target availability. Therefore, the benefit of cerebellar stimulation in some of these cases may be in tandem with pre-existing basal ganglionic lesions. As we further advance our understanding of these subcortical circuits and patterns of altered connectivity in specific disease states, newer and more specific cerebellar stimulation targets may be explored.

As previously noted, cerebellar TMS has been used to study the mechanism and involvement of the cerebellum in dystonia. Therapeutic data is more limited, however continuous theta burst (cTBS) showed a modest 15% improvement in the Toronto Western Cervical Dystonia Rating Scale (TWSTRS) scale after 2 weeks of stimulation [92].

## Limitations and future directions

The reader should keep in mind the strengths and limitations of study methods described. The advantage of rodent studies is that it allows the use of precise interventions and control of

confounders which are not available in human studies. Rodents are inexpensive and have neurobiological similarities to humans, yet there is some argument in rodent models as to whether the induced postures are sufficient to be labelled dystonia, or whether rodents are capable of manifesting dystonia in the first place. Some labs have conducted detailed investigations with electrophysiologic correlates to validate their findings of dystonia in rodents [54].

In humans, advanced imaging modalities like VBM and functional MRI are useful to localize brain abnormalities and abnormal connectivities. However they are limited in that they do not differentiate between causality, secondary changes, and epiphenomena. Similarly, behavioral studies looking at cerebellar function in dystonia patients, or *vice versa*, can show correlation but not causality.

Interventional studies such as using TMS or DBS can be attractive, as they can help establish direct effect. With the advent of microelectrode and local field potential recordings, more sophisticated studies can be designed to elucidate the effects of neurostimulation on cerebellar physiology. Moreover, the emerging body of evidence in the role of the cerebellum in dystonia has implications in therapies, particularly in novel methods of cerebellar stimulation.

## Author contributions

All authors participated in the review of literature, and manuscript review; AW co-wrote the original draft and created the figure, IA co-wrote the original draft and created the tables, CK participated in manuscript editing and research conception. All authors contributed to the article and approved the submitted version.

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